

Please type a plus sign (+) inside this box → +

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 09/751,159            |
| Filing Date            | December 28, 2000     |
| Inventor(s)            | Marc P. KAPLAN et al. |
| Group Art Unit         | 2623                  |
| Examiner Name          | John Manning          |
| Attorney Docket Number | 129250-002064/US      |

## ENCLOSURES (check all that apply)

|   |  |   |         |  |
|---|--|---|---------|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment<br><input type="checkbox"/> After Final (Supplemental)<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Letter to the Official Draftsperson and _____ Sheets of Formal Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> LETTER SUBMITTING APPEAL BRIEF AND APPEAL BRIEF (w/clean version of pending claims)<br><br><input checked="" type="checkbox"/> Appeal Communication to Group (Notice of Appeal, <u>Brief</u> , Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Check No. 1209 for \$120 & Notice re: Appeal Brief Fee & Request for Refund of NOA Fee |         |  |
| <table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>   |  |   | Remarks |  |
| Remarks   |  |   |         |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |               |                |          |        |
|-------------------------|---|---------------|----------------|----------|--------|
| Firm or Individual name | CAPITOL PATENT & TRADEMARK LAW FIRM, PLLC | Attorney Name | John E. Curtin | Reg. No. | 37,602 |
| Signature               |   |               |                |          |        |
| Date                    | December 5, 2006                          |               |                |          |        |



# FEE TRANSMITTAL for FY 2006

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 120

## Complete if Known

Application Number 09/751,159  
Filing Date December 28, 2000  
First Named Inventor Marc P. KAPLAN  
Examiner Name John Manning  
Art Unit 2623  
Attorney Docket No. 129250-002064/US

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number

50-3777

Deposit  
Account  
Name

CAPITOL PATENT & TRADEMARK LAW FIRM, PLLC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1011         | 300      | 2011         | 150      | Utility filing fee     |          |
| 1012         | 200      | 2012         | 100      | Design filing fee      |          |
| 1013         | 200      | 2013         | 100      | Plant filing fee       |          |
| 1014         | 300      | 2014         | 150      | Reissue filing fee     |          |
| 1005         | 200      | 2005         | 100      | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 0)

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       |  | Extra Claims |  | Fee from below |  | Fee Paid |  |
|--------------------|--|--------------|--|----------------|--|----------|--|
| Independent Claims |  | -20 **       |  | = 0            |  | X 0 = 0  |  |
| Multiple Dependent |  | -3 **        |  | = 0            |  | X 0 = 0  |  |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 50       | 2202         | 25       | Claims in excess of 20                                     |
| 1201         | 200      | 2201         | 100      | Independent claims in excess of 3                          |
| 1203         | 360      | 2203         | 180      | Multiple dependent claim, if not paid                      |
| 1204         | 200      | 2204         | 100      | ** Reissue independent claims over original patent         |
| 1205         | 50       | 2205         | 25       | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for reexamination                                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 120      | 2251         | 60       | Extension for reply within first month                                     | 120      |
| 1252         | 450      | 2252         | 225      | Extension for reply within second month                                    |          |
| 1253         | 1020     | 2253         | 510      | Extension for reply within third month                                     |          |
| 1254         | 1,590    | 2254         | 795      | Extension for reply within fourth month                                    |          |
| 1255         | 2,160    | 2255         | 1080     | Extension for reply within fifth month                                     |          |
| 1401         | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402         | 500      | 2402         | 250      | Filing a brief in support of an appeal                                     |          |
| 1403         | 1000     | 2403         | 500      | Request for oral hearing   |          |
| 1452         | 500      | 2452         | 250      | Petition to revive - unavoidable   |          |
| 1453         | 1500     | 2453         | 750      | Petition to revive - unintentional   |          |
| 1501         | 1400     | 2501         | 700      | Utility issue fee (or reissue)   |          |
| 1502         | 800      | 2502         | 400      | Design issue fee   |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 120)

#### 4. SEARCH/EXAMINATION FEES

|      |     |      |     |                         |  |
|------|-----|------|-----|-------------------------|--|
| 1111 | 500 | 2111 | 250 | Utility Search Fee      |  |
| 1112 | 100 | 2112 | 50  | Design Search Fee       |  |
| 1113 | 300 | 2113 | 150 | Plant Search Fee        |  |
| 1114 | 500 | 2114 | 250 | Reissue Search Fee      |  |
| 1311 | 200 | 2311 | 100 | Utility Examination Fee |  |
| 1312 | 130 | 2312 | 65  | Design Examination Fee  |  |
| 1313 | 160 | 2313 | 80  | Plant Examination Fee   |  |
| 1314 | 600 | 2314 | 300 | Reissue Examination Fee |  |

SUBTOTAL (4) (\$ 0)

### SUBMITTED BY

Name (Print/Type)

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Signature

Date

December 5, 2006

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